



BROKER APPLICATION

COMPANY INFORMATION	
Company Name:	_____
Company NMLS #:	_____ TJ Account Executive: _____
Address:	_____
Phone #:	_____ Fax #: _____
Date Founded:	_____ Tax ID #: _____

BROKER INFORMATION	
Broker Name:	_____ SSN: _____
Email:	_____ Loan Originator NMLS #: _____

PRESIDENT/OWNER INFORMATION	
President/Owner Name:	_____ SSN: _____
Email:	_____ Loan Originator NMLS #: _____

LOAN PROCESSOR INFORMATION (Resume Required)	
Processor Name:	_____ Email: _____

PRODUCTION HISTORY		
	Conforming	Non-Conforming
Current Year	\$ _____	\$ _____
Previous Year	\$ _____	\$ _____

LENDER REFERENCES		
1.	_____	
Company	Contact	Phone#
Address	_____	
2.	_____	
Company	Contact	Phone#
Address	_____	

LENDER REFERENCES (Continued)

3. _____
Company Contact Phone#

Address

RETURN THE FOLLOWING ITEMS WITH THIS APPLICATION:

1. COPY OF CALIFORNIA REAL ESTATE BROKER (COMPANY & INDIVIDUAL) LICENSES
2. CURRENT YEAR-TO-DATE PROFIT & LOSS STATEMENT AND BALANCE SHEET
3. RESUME OF BROKER, PRESIDENT/OWNER, & PROCESSOR
4. "CONVENTIONAL BROKER AGREEMENT" SIGNED BY BROKER AND PRESIDENT/OWNER
5. COMPLETED AND SIGNED W-9 FORM
6. ARTICLES OF INCORPORATION, IF APPLICABLE
7. "FRAUD POLICY" FORM COMPLETED AND SIGNED BY BROKER AND PRESIDENT/OWNER
8. "LENDER PAID COMPENSATION ELECTION AGREEMENT"
9. POLICY AND PROCEDURE FOR QUALITY CONTROL & 2 MOST RECENT MONTHS Q.C. REPORTS
10. POLICY AND PROCEDURE FOR LOAN ORIGINATOR COMPENSATION & ANTI-STEERING

The undersigned declares that, to the best of their knowledge, the statements set forth are true. T. J. Financial, Inc. is hereby authorized to obtain verification either directly or through a credit-reporting agency from any source named within.

BROKER SIGNATURE PRINT NAME DATE

PRESIDENT/OWNER SIGNATURE PRINT NAME DATE